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*S. Nydegger*  
PATENT 6/28/02  
#6/IDS  
*u/certification*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hooman A. Asbaghi )  
Serial No.: 09/775,239 ) Art Unit  
Filed: February 1, 2002 ) 3754  
For: SELF-SHEATHING DENTAL NEEDLE )  
Examiner: Unknown )  
Attorney Docket: 11311.1 )

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER FINAL ACTION OR NOTICE OF ALLOWANCE 37 CFR §1.97(C)

Hon. Commissioner for Patents  
Washington, D. C. 20231

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Sir:

1. The Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either:

- a. a Final Action under §1.113 or
- b. a Notice of Allowance under §1.311,

whichever occurs first.

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Hon. Commissioner for Patents  
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**CERTIFICATE OR FEE**

2. Accompanying this transmittal is

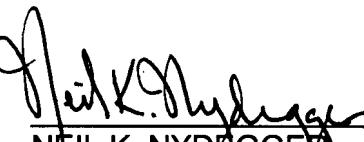
- a certification as specified in 37 CFR 1.97(e)  
 the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$240.00).

**METHOD OF PAYMENT**

4. If any additional fees are due please charge the account 14-1519. A duplicate of this request is attached.

Dated this 4/2 day of June, 2002.

Respectfully submitted,

  
NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

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Transmitted: Information Disclosure Statement; PTO Form 1449; 1 Reference;

Docket: 11311.1

PATENT

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In re application of: Hooman A. Asbaghi

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents  
Washington, D. C. 20231

The following sections are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449 (Modified)
3.    Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4. X Copies of Listed Information Items Accompanying This Statement.
5.    Concise Explanation of Non-English Language Listed Information Items.
6.    Translation(s) of Non-English Language Documents.
7. X Certification Under 37 C.F.R. §1.97.(e).
8.    Fee as required under 37 C.F.R §1.17(p).
9.    Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10. X Identification of Person(s) Making This Information Disclosure Statement.

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## **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 4. Copies of Listed Information Items Accompanying This Statement.**

Legible copies of **all** items listed below accompany this information statement.

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Country</u>
5,037,402	08/06/91	Bartman	USA

**Section 7. Certification Under 37 C.F.R. §1.97(e)**

The undersigned hereby certifies that the present Supplemental Information Disclosure Statement is being filed:

- After the mailing date of the first Office Action but before a final rejection or notice of allowance [37 C.F.R. §1.97(c)].
- After a Final Rejection or Notice of Allowance but before payment of the issue fee [37 C.F.R. §1.97 (d)].

The undersigned also certifies the following:

- That each item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart for an application not more than three (3) months prior to the filing of the Statement.

or

- That no item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(d) more than three (3) months prior to the filing of the Statement.



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**Section 10. Identification of Person(s) Making this Supplemental Information Disclosure Statement.**

The person making this statement is

- (a) \_\_\_\_\_ the inventor(s) who signs below

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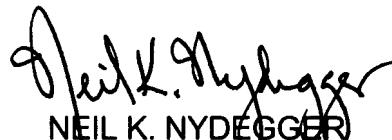
SIGNATURE OF INVENTOR

---

Name of Inventor

- (b) X the attorney who signs below on the basis of:

- X the information supplied by the inventor(s)  
\_\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
X the information in the attorney's file



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CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Washington, D. C. 20231, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 42 day of June, 2002.

  
TARYLL L. ANDERSEN  
Administrator

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